

Poroelasticity: Finite Element modelling of anomalous tilt and pore pressure caused by pumping in a sedimentary half space with fault

Morelia Urlaub^{a,b,*}, Marcus Fabian^{a,c},

^a *Universität Bremen, Fachbereich Geowissenschaften, Meerestechnik/Sensorik,
Klagenfurter Strasse, 28359 Bremen, Germany*

^b *now at: National Oceanography Centre, Southampton, European Way, Southampton
SO14 3ZH, United Kingdom*

^c *now at: Federal Ministry for the Environment, Nature Conservation and Nuclear Safety
– Federal Supervision of Nuclear Power Plants, Fundamental Aspects of Nuclear Safety,
Robert-Schuman-Platz 3, 53175 Bonn, Germany*

Abstract

Extraction of groundwater or hydrocarbons causes pore pressure gradients and soil deformation due to poroelastic coupling. Recent studies show that high-resolution engineering tiltmeters installed at shallow depth between 2 – 10 m resolve this deformation. Models using poroelasticity can describe the relationship between fluid extraction, pore pressure gradients and induced tilt for homogeneous and layered sedimentary half spaces. Faults intersecting a stack of sedimentary layers, for example in the Lower-Rhine-Embayment, are of fundamental impact to the groundwater flow system of an area. However, the fault's hydromechanical effect on pump induced tilt and the pore pressure regime is still poorly investigated. We chose a comparatively simple approach to quantify anomalous pump induced tilt and pore pressure

*Corresponding author

Email addresses: `m.urlaub@noc.soton.ac.uk` (Morelia Urlaub),
`marcus.fabian@bmu.bund.de` (Marcus Fabian)

observed near a fault and close to the surface in a sedimentary subsoil. A PC-based Finite Element software is used to model poroelastic deformation, i. e. modelling vertical tilt and excess pore pressure in response to fluid extraction through a singular well. We compare numerical solutions for models with and without faults and show that a fault can modify symmetry and amplitude of the deformation field by more than a magnitude. We conclude that tilt and pore pressure measurements also at shallow depth can thus be biased by large subsurface structures like faults. Vice versa, these measurements may provide means to quantify hydromechanical effects caused by subsurface structures. However, depending on the geological setting, i. e. if pathways are established by a fault, the anomaly caused by the fault can also be small and hard to detect. Therefore, faults and geological structures like material boundaries have to be considered in poroelastic models carefully. For tilt surveys with a limited number of instruments in geologically well constrained areas these models allow the preselection of potential positions for tiltmeters where prominent field anomalies are expected.

Keywords: deformation, fault, poroelasticity, pore pressure, sedimentary basin, tilt

1. Introduction

Surface deformation caused by subsurface fluid flow and pore pressure gradients was recently observed by high-resolution engineering tiltmeters (0.01 to $1\mu rad$ resolution) installed at shallow depths or at the surface on land by Lehmann [1], Vasco et al. [2], Fabian [3], Chen [4] and at the sea floor by Tolstoy et al. [5], Fabian and Villinger [6]. The tilt data provide key

7 information on geological setting, fluid regime, coupling between pore fluid
8 and soil matrix as well as subsidence. Thus, these tiltmeter surveys are
9 commonly conducted in hydrocarbon or groundwater exploration and moni-
10 toring [7, 8, 2, 9]. In contrast to methods such as optical instrument levelling
11 surveys, global positioning system (GPS) surveys or satellite-related interfer-
12 ometric synthetic aperture radar (InSAR) techniques, which estimate areal
13 surface deformations, tiltmeter surveys are not affected by plant cover, the
14 instruments can be deployed so that they are protected from vandalism and
15 continuous data acquisition is conducted at selected positions with a compar-
16 atively high resolution. In addition to the previously named investigations,
17 where tiltmeters are installed in the very upper soil, are studies of Jentzsch
18 and Koss [10], Weise et al. [11], Jahr et al. [12] and Gebauer et al. [13] which
19 focus on long-term surveys and the use of very high resolution tiltmeters
20 (vertical pendulums) installed at depths $> 30\text{ m}$.

21 Geological structures such as a fault alter the subsurface fluid flow, e. g.
22 anomalous hydraulic head gradients are often associated with faults [14, 15].
23 Weise et al. [11] and Jahr et al. [16] attribute observed tilt anomalies to the
24 existence of faults. Consequently, questions arise about the nature and ampli-
25 tude of anomalies caused by a fault. Are these anomalies detectable with field
26 instruments like engineering tiltmeters? To know the potential effect of such
27 subsurface structures is essential not only to correctly interpret field data
28 and to understand fundamental hydrogeological and poroelastic processes,
29 but also for tiltmeter survey designs in areas of prospective groundwater or
30 hydrocarbon exploration.

31 As the number of instruments in such surveys is often limited, tilt data is

restricted to selected observation positions [3]. The surface deformation field on top of a reservoir in the vicinity of the surface exposure of a fault is therefore only mapped with an insufficient spatial resolution. The problem would be less critical and a good areal coverage could be achieved if appropriate positions can be selected in advance, based on geological information from the survey area. The recent studies of e.g. Wang and Kümpel [17], Jahr et al. [18] and Kihm et al. [19] successfully model pump induced tilt data using the theory of poroelasticity [20], which describes coupling between pore fluids and soil matrix. However, due to limited computing capacity on desktop PCs, in most cases only simplified 1D-models like a homogeneous or horizontally layered half space could be computed and calculations were mostly done for singular tiltmeter positions at selected depths [3]. Consequently, there is the demand for advanced but easy-to-implement models which, (a) describe the influence of anomalies like faults on the surface deformation field, (b) provide a higher spatial resolution for the complete model domain in order to include prominent subsoil structures and (c) can be set up and computed with a manageable effort, preferably in-situ and on a laptop. Particularly for experimental studies in regions with well-constrained geological settings such as the sedimentary basin of the Lower Rhine Embayment [21, 22] or in areas where intensive geophysical site-surveys were done, such models would allow better placement of tiltmeters, where minima, maxima or any type of prominent signal anomaly of an expected deformation field will occur.

To understand the effect of a vertical boundary on pore pressure, tilt and deformation fields in a subsurface where fluid flow takes place and to provide a model into which local geology can be incorporated and which can

57 be run on standard desktop PCs, we use the Finite Element (FE) software
 58 Comsol Multiphysics [23] to compute the 3D steady-state deformation field
 59 close to a fault caused by fluid extraction from subsoil through a singular
 60 well. This study aims on tilt surveys with a duration of several days to
 61 weeks or a couple of months as conducted for hydrocarbon or groundwater
 62 related purposes [8, 14]. Usually, 10 to 20 geodetic tiltmeters, e. g. AGI type
 63 instruments [24], are installed in shallow boreholes at depth less than 10 *m*
 64 below the surface. We therefore quantitatively focus on tilt and pore pressure
 65 effects observable in the upper 10 *m* of the subsurface but also assess larger
 66 depths to qualitatively understand deeper processes.

67 Models are analysed for tilt, pore pressure, pore pressure gradient and
 68 deformation fields. At first we give general remarks on the software, explain
 69 the implementation of poroelastic equations and validate the software's ca-
 70 pability to solve poroelastic problems (section 2). In section 3, the general
 71 set up such as geometries, mesh design, boundary conditions and poroelastic
 72 parameters of the models used in this study is explained. The effect of a
 73 fault on pore pressure, pore pressure gradient, tilt and deformation is anal-
 74 ysed on simple models of two adjacent blocks of different materials (section
 75 4). More sophisticated models with different types of faults in typically lay-
 76 ered sedimentary subsoils are presented and evaluated in section 5. Section
 77 6 discusses the major results of the presented models with respect to the
 78 impact on field studies as well as limitations of the assessment.

2. Model Selection

Models are set up to represent a typical geological setting of a sedimentary basin with large deposits of groundwater. This should allow us to qualitatively compare modelling results with field measurements. For instance, Fig. 1 shows a cross section from the Lower-Rhine Embayment redrawn after Fabian [3]. In such a setting sediment layers form a stack, some hundred meters in depth. The area is flat and the layer-stack is fully saturated with groundwater guided by aquifers at different depths. Wells tapping those aquifers can extract groundwater and cause surface deformation. A fault rising from bedrock and cutting through the sediments can establish a hydraulic barrier, as aquiclude and aquifer layers are offset [25, 26].

FIGURE 01

The simplest model to describe a fault in a sedimentary subsoil is a bare interface between two blocks of distinct material adjacent to each other. However, as simple the model is, it might be used to describe the situation for example in a permeable sedimentary filled valley surrounded by impermeable steep hills. A material contrast of small-grained sand within the block that contains the production well and silt in the neighbouring sediment block, is chosen in order to describe the fault as a barrier. Corresponding poroelastic parameters after Fabian [3] are given in Tab. 1. A model of a homogeneous half space consisting of small-grained sand was calculated as a reference. To study a typical sedimentary subsoil with a fault as shown in Fig. 1, a model of a layered half space with four layers is used. Fig. 2 shows the geometries of three different layered models without (Fig. 2(a)) and with a fault (Fig. 2(b),

104 c)) that are analysed in this work. The model without a fault is used as
 105 a reference. Please refer to Fabian [3] for a detailed analysis of the effect
 106 of layers on tilt and pore pressure in a pumped half space. Groundwater
 107 is pumped from a confined aquifer of small-grained sand at depths between
 108 -75 m and -125 m (layer 3). On top of the aquifer a 45 m thick aquiclude
 109 of a clay layer from -30 m to -75 m (layer 2) and an overlying layer of a
 110 free aquifer of small-grained sand (layer 1) confine the deeper subsoil. At
 111 the models' bottom a clay layer between -125 m and -200 m (layer 4) also
 112 acts as an aquiclude. The length of the layered models is 1800 m to allow
 113 investigation of pump induced effects at some distance from the well. A
 114 20 m wide vertical fault zone intersects the model and is located between
 115 $x = 100\text{ m}$ and $x = 120\text{ m}$. The block without the well is shifted downwards
 116 with an offset of 50 m to simulate a normal fault. This displacement results
 117 in a thickness of the upper free aquifer of 80 m instead of 30 m as it is in
 118 the block with the well. Two different kinds of fault zones are modelled.
 119 Faults in poorly lithified sediments do not provide vertical flow paths [27]
 120 and appear as mixing zones of available materials at the grain-scale [28].
 121 Thus, for the model shown in Fig. 2(b) poroelastic parameters of the fault
 122 zone within $z = -50\text{ m}$ and the model's bottom are those of loam, which
 123 is less permeable than small-grained sand and higher permeable than clay
 124 (compare Tab. 1). In the upper part of the fault zone, where no mixing is
 125 assumed, poroelastic parameters are those of small-grained sand - as in the
 126 upper free aquifers. Accordingly, an observer at the surface would not notice
 127 that a fault exists in the subsoil because of no change in soil type. Contrary,
 128 the model shown in Fig. 2(c) has a step fracture fault zone: Instead of a

uniform fault zone running vertically from the bottom to $z = -50\text{ m}$, the four layers of the formation are offset downwards by two 25 m steps. The layers of the subsoil block without well are completely displaced with respect to the layers in the block that contains the well, so that fluids crossing the fault to reach the well have to move upwards.

FIGURE 02

All layers are assumed to be fully saturated. Despite the different lengths all models have the same depth (200 m) and width (150 m). Poroelastic parameters are as in Tab. 1. An overview of all models presented in this work is given in Tab. 2.

label	material	$G[GPa]$	ν	ν_u	B	α	$K_f[m/s]$
sgs	small-grained sand	0.20	0.15	0.40	0.85	0.90	$1 \cdot 10^{-4}$
s	silt	0.35	0.20	0.40	0.75	0.95	$5 \cdot 10^{-6}$
l	loam	0.50	0.20	0.40	0.75	0.95	$1 \cdot 10^{-7}$
c	clay	0.50	0.20	0.40	0.75	0.95	$5 \cdot 10^{-9}$

Table 1: Poroelastic parameters used in this study are taken from Fabian [3]. G is shear modulus, ν Poisson's ratio, ν_u undrained Poisson's ratio, B Skempton's coefficient, α the coefficient of effective stress and K_f is the K_f -value, which is related to Darcy permeability, fluid density, gravity and dynamic viscosity.

	Single Layer		Layered		
	Homo- geneous	Interface	Undis- turbed	Fault zone	Step fracture
length in x	600 m	600 m	1800 m	1800 m	1800 m
fault type	–	vertical material boundary	–	vert. 20 m wide zone of material mixture	vert. 20 m wide, step-like offsets at boundaries
distance well-fault in x	–	100 m (**) 30, ..., 150 (*) 70	–	100 m	100 m
well depth in z	-100 m	-100 m * -20, ..., -175	-100 m	-100 m	-100 m
offset at fault in z	–	–	–	50 m	50 m
layers	1	1	4	4	4
elements	48876	60270	135488	138641	145350
Figs.	7a-b, 8a-d	4,7b-c,8e- h,9(*),10(**)	2a, 11a-b	2b, 11c-d, 12	2c, 13, 14

Table 2: Overview of models discussed in this study. All models represent the subsoil down to -200 m (z-direction) and account for a width of 150 m (y-direction). The model type is written in the first line. Given are also specifications in geometry, number of layers, the number of finite elements (discretisation) as well as references to figures, which show the corresponding model.

140 3. Poroelastic Modelling

141 The FE software package COMSOL Multiphysics is used to solve the
142 fully coupled poroelastic differential equations [20]. The poroelastic equa-
143 tions can be implemented in their coefficient form as two separate equation
144 systems coupled via the pore pressure. Adjusting the 'Stress-Strain Appli-

145 cation Mode' gives the elastic part and modifying the 'PDE Module' allows
 146 the implementation of the fluid term. Gravity is not considered.

147 To numerically implement a subsoil setting as shown in Fig. 1, a 3D geom-
 148 etry is required. The well at a certain depth z with a certain spatial extension
 149 of its screen is located at a distance x in front of the fault, which extends
 150 in the z and y direction along a plane perpendicular to the focal plane (x - z
 151 plane) of Fig. 1. The model domain has a vertical symmetry plane through
 152 the centre of the well in the x - z plane at $y = 0\text{ m}$. The well, i. e. its screen,
 153 is represented by a half-cylinder with a length (in vertical) of 10 m and a
 154 radius (horizontally) of 0.5 m . The screen is centred at $x = 0\text{ m}$, $y = 0\text{ m}$ and
 155 $z = -100\text{ m}$. Fig. 3 depicts the boundary conditions. The surface at $z = 0\text{ m}$
 156 does not experience traction, i. e. is free to move, and pore pressure is zero.
 157 Symmetry at the vertical plane at $y = 0\text{ m}$ implies no normal displacement
 158 in this plane as well as no normal flow. Bottom and side boundaries are
 159 fixed in their normal direction and pore pressure is set to zero. Note that
 160 pore pressure p describes the so called 'excess pore pressure', which is the
 161 pump induced part of total pore pressure. It corresponds with the theory
 162 of poroelasticity [29, 30]. Therefore, pore pressure, p , vanishes at the outer
 163 boundaries as well as at the free surface.

164 FIGURE 03

165
 166 Groundwater extraction is simulated at the well screen. The pump rate,
 167 q_0 , is the flow $q_0 = \vec{v}_f \cdot \vec{A}$ through the surface \vec{A} of the well screen and is
 168 set to a typical value for water production of $-100 \frac{\text{m}^3}{\text{h}}$ (Verbandswasserwerk
 169 Euskirchen, personal communication, 2000) in all models. $\vec{v}_f = \kappa \cdot \vec{\nabla} p$ is

170 the Darcy velocity in the poroelastic medium with the Darcy conductivity,
171 κ . For formulas and boundary conditions at the well screen see Kümpel
172 [29], Hölting [31] and Wang and Kümpel [17].

173 The FE mesh is designed to have a maximum element edge length of
174 10 m at the surface down to -20 m depth and 15 m at all inner interfaces,
175 increasing to 40 m within the bulk volume (Fig. 4). A maximum length of
176 5 m as suggested below (section 3.1) would provide a better estimate of the
177 true solution but computation time is a limiting factor. For a sedimentary
178 subsoil as in the Lower Rhine Embayment, Kümpel et al. [32] conclude that
179 signals from local soil disturbances like soil compaction or swelling of e.g.
180 loam lenses, precipitation or nearby surface loading will only influence tilt
181 measurements close to the surface, if these signals occurred within a distance
182 not larger than 10 m from the instrument. Moreover, pumping test studies
183 like Kümpel et al. [33], Fabian and Kümpel [34], Fabian [3] show that signals
184 caused by pumping from wells at depths down to 100 m and deeper can cause
185 strong deformation, tilt and pore pressure changes, which can be observed
186 close to the surface. Because a sedimentary subsoil seems to show a kind of
187 low-pass or high-cut filter character and the focus of this study is on pump
188 induced signals from deeper wells and large structures like faults, we assume
189 with respect to a shorter computing time that a finite element edge length of
190 10 m in the upper 20 m is sufficient. Exclusively quadratic Lagrange elements
191 are applied and direct solvers are used [23]. All models subsequently shown
192 run on a standard desktop PC with a Windows XP Professional x64 operating
193 system, two 1.8 GHz processors and 3.12 GB of RAM. The computing time
194 for the most complex model (step fracture, Tab. 2 and Fig. 2(c)) is about

195 seven hours.

196 FIGURE 04

197

198 *3.1. Software validation*

199 The algorithm of COMSOL was validated by comparing the software's
200 solution to the analytical solution of Wang and Kümpel [17] for tilt and pore
201 pressure in a homogeneous half space. A half space of small-grained sand
202 with $G = 0.2 \text{ GPa}$, $\nu = 0.15$, $\nu_u = 0.4$, $B = 0.85$ and $K_f = 10^{-4} \frac{\text{m}}{\text{s}}$ was
203 selected. The well is located at -100 m depth with a pump rate of $-100 \frac{\text{m}^3}{\text{h}}$.
204 The maximum finite element edge length is 5 m . We define $\Delta\gamma_x$ as tilt in
205 the x direction and $\Delta\gamma_y$ as tilt in the y direction. The sign convention is
206 according to Wang and Kümpel [17] with the vertical well axis as the origin.
207 A negative sign in tilt indicates subsidence at the surface in the direction
208 towards the well. If not mentioned differently, tilt refers to vertical tilt in
209 the x-direction ($\Delta\gamma_x$). In Fig. 5(a, b) the tilt and pore pressure fields are
210 rotationally symmetric with respect to the vertical axis through the centre
211 of the well, where tilt is zero (Fig. 5(a)). The tilt field has a butterfly-like
212 pattern. Below the well tilt is negative whereas it is positive above the
213 well. A surface tilt minimum occurs at a radial distance of $1/\sqrt{2}$ times the
214 well depth from the vertical well axis. This dependency of the position of
215 the surface tilt minimum (or hereafter referred to as absolute maximum)
216 on well depth has been shown by Lehmann [1] and Wang and Kümpel [17].
217 The maximum's position is, however, independent of poroelastic parameters,
218 which on the other hand determines the amplitude. Tilt is zero between this
219 surface maximum and the upper butterfly-wing pattern. Fig. 5(c, d) shows

220 the differences between the analytical and numerical solutions at -5 m depth,
 221 i. e. exemplary for surface-near tilt and pore pressure. Maximum residuals
 222 are $0.02\text{ }\mu\text{rad}$ for tilt and 0.17 Pa for pore pressure. In a homogeneous half
 223 space of small-grained sand this corresponds to errors of 0.5% and 0.004%,
 224 respectively. These maximum tilt residuals match the resolution of the best
 225 engineering tiltmeters. Pore pressure residuals are even smaller than values
 226 that high quality in-situ pressure sensors can detect. In the part of the model
 227 domain shown in Fig. 5(e, f) the residuals only exceed $0.02\text{ }\mu\text{rad}$ or 5 Pa near
 228 the well. Steep gradients prevail so that in the area with a radius of about
 229 10 m around the well screen, differences to the analytic solution can become
 230 bigger and may be within a range that could be detected by field instruments.

231 FIGURE 05

232
 233 The model validation also revealed that boundary conditions have a signif-
 234 icant impact on the solution. To minimise the effect of boundary conditions
 235 we embedded the inner model domain with a fine mesh into a model block
 236 of coarse discretisation which should be at least ten times larger (compare
 237 Fig. 3). The convergence functions [35] for tilt and pore pressure shown in
 238 Fig. 6 prove that the residual between the analytical and numerical solution
 239 can be minimised by using smaller elements. A change in slope of the con-
 240 vergence function for tilt occurs where the element length equals 5 m , which
 241 we therefore recommend as the ideal element length. However, as computing
 242 power is often a limiting factor small differences to the analytical solution
 243 remain as depicted in Fig. 5(c-f) and can be attributed to limitations in dis-
 244 cretisation.

FIGURE 06

4. Interface between two blocks

In this section we present simple models with the aim to define and understand anomalies in tilt and pore pressure gradient fields that are caused by a vertical material boundary in the vicinity of a pumping well. A homogeneous half space model serves as a reference. The 3D geometry of the model with material interface can be seen in Fig. 4. Details of the model design can be found in section 3. As in subsequent descriptions, the sediment block that comprises the production well is referred to as block 1 and the sediment block beyond the fault is referred to as block 2. Block 1 is made of small-grained sand and has a higher permeability as block 2, which is made of silt. First, vertical x-tilt and excess pore pressure along the symmetry plane at a depth of -5 m are described with a focus on location and amplitude of their maxima as well as the position of zero tilt. Subsequently, the pore pressure gradient, the x-tilt field and the direction of deformation is analysed based on a slice through the symmetry plane at $y = 0\text{ m}$. Lastly, anomalies of pore pressure gradient, total tilt field and the direction of deformation in the x-y plane at various depths are described. Outstanding features and anomalies are described and sorted according to their importance in terms of field measurements. This order is used for all subsequent model result descriptions.

Fig. 7(a) shows surface tilt $\Delta\gamma_x$ along the transect at $y = 0\text{ m}$ as obtained by the numerical solution for a homogeneous half space of small-grained sand

269 (reference model). Surface tilt is symmetric to $x = 0\text{ m}$ where it declines to
 270 $0\text{ }\mu\text{rad}$. The strongest amplitudes of $-2.4\text{ }\mu\text{rad}$ occur at a radial distance
 271 of about 70 m from the well. This corresponds to $1/\sqrt{2}$ times the depth of
 272 the well screen's centre at $z = -100\text{ m}$ [1]. In sedimentary basins as illus-
 273 trated by Fig. 1, pump induced tilt between 0.1 and some $10\text{ }\mu\text{rad}$ has been
 274 observed depending on the experimental set-up [1, 34, 3]. Corresponding to
 275 Fig. 7(a), Fig. 7(b) delineates the pore pressure gradient at the surface. It
 276 is also symmetric to $x = 0\text{ m}$, where a maximum of 0.039 kPa/m appears.
 277 The pore pressure gradient decreases with increasing distance from $x = 0\text{ m}$.
 278 Note that at the surface the gradient is not zero, even if pore pressure is zero.

279 Fig. 7(c) illustrates a vertical x-z slice along the symmetry plane ($y =$
 280 0 m). Grey shading reflects the absolute amplitude of the pore pressure
 281 gradient field. It decreases logarithmically with increasing distance from
 282 the well. Maximum values at the well screen are 40 kPa/m . The black
 283 contour lines in Fig. 7(c) delineate x-tilt, $\Delta\gamma_x$, the labels of which are given in
 284 μrad . The white tilt zero-line represents $0\text{ }\mu\text{rad}$. Along this line displacement
 285 consists of pure translation (horizontally and/or vertically) so that tiltmeters
 286 would not deliver any output signal. At the position of the well a numerical
 287 solution is not possible, but contour lines are interpolated by the plotting
 288 software. Therefore, this area is shaded off by the grey square. The tilt
 289 field has a rotational symmetry with respect to the vertical axis through the
 290 centre of the well. A butterfly-like pattern develops around this symmetry
 291 axis where $\Delta\gamma_x = \Delta\gamma_y = 0\text{ }\mu\text{rad}$. Within the area included by the tilt zero-
 292 line, absolute tilt values of up to $6\text{ }\mu\text{rad}$ occur near the well, but decline with
 293 increasing distance. However, within the tilt zero-line in the upper butterfly

294 wings tilt is positive, indicating that the bottom of a tiltmeter would be
 295 more attracted towards the well than its top would be, whereas outside of
 296 the zero-line tilt is negative. Due to the interference of the free surface
 297 deformation with the general deformation pattern of a full space [1, 17],
 298 the white tilt zero-line only appears in the upper model part. The lower
 299 part of the butterfly-like pattern (below $z = -100\text{ m}$) looks wider than the
 300 upper one. Black arrows represent the direction of deformation in the x-z
 301 plane. The model block is mainly deformed in the z direction with small
 302 horizontal components pointing towards the well. Along the well's vertical
 303 axis deformation is purely vertical.

304 Fig. 7(d-f) shows, with the same representations as in Fig. 7(a-c), the
 305 numerical solutions for a half space with a fault. The fault is simulated
 306 by an interface between two blocks of different unconsolidated sediments at
 307 $x = 100\text{ m}$. At the surface (Fig. 7(d)) strong anomalous tilt appears next
 308 to the fault, the strongest amplitude of $-3.5\text{ }\mu\text{rad}$ at $x = 90\text{ m}$ is 1.6 times
 309 stronger than for the homogeneous half space (Fig. 7(a)). The surface tilt
 310 maximum at the other side of the well ($\Delta\gamma_x = 2.6\text{ }\mu\text{rad}$), i. e. towards neg-
 311 ative x coordinates, is slightly more pronounced than for the homogeneous
 312 half space at nearly the same place. At $x = 0\text{ m}$ surface tilt is not zero as
 313 in the homogeneous model but has a value of $-0.1\text{ }\mu\text{rad}$. The pore pressure
 314 gradient at the surface (Fig. 7(e)) above the well is 0.042 kPa/m and there-
 315 with stronger than in Fig. 7(b). This is also the case for the model part to
 316 the right of the well. Contrary, pore pressure gradients of both models are
 317 identical at $x = -100\text{ m}$.

318 The pore pressure gradient maximum at the well screen in Fig. 7(f) is

319 $44\text{ kPa}/m$, about 10% stronger than for the homogeneous half space in
 320 Fig. 7(b). Values decrease radially with increasing distance from the well.
 321 Anomalies appear in the vicinity of the fault between $x = 95\text{ m}$ and $x =$
 322 100 m at a depth of about -100 m , where the gradient also has a local maxi-
 323 mum of $0.03\text{ kPa}/m$, compared with the surrounding average of $0.02\text{ kPa}/m$.
 324 Parallel to the interface the circular shape of the filled grey pore pressure gra-
 325 dient contours known from Fig. 7(c) is contracted. In block 2 the pore pres-
 326 sure gradient is steeper than in the corresponding part of the homogeneous
 327 half space model (Fig. 7(c)).

328 Generally in Fig. 7(f) tilt amplitudes exceed those in the homogeneous
 329 half space model (Fig. 7(c)) especially next to the interface. The spreading
 330 of the upper butterfly wing in Fig. 7(f) between $x = 0\text{ m}$ and $x = 100\text{ m}$ is
 331 considerably smaller compared with the situation in the homogeneous half
 332 space (Fig. 7(c)): between the well and the interface tilt changes to negative
 333 values – at the same distance from the well, where in the homogeneous model
 334 still positive values occur. The course of the tilt zero-line is less extended in
 335 this part of the model domain. Furthermore, a deflection of the tilt contour
 336 lines appears in Fig. 7(f) on the deeper butterfly-wings with negative values.
 337 The contour line of $-0.4\text{ }\mu\text{rad}$ spreads out wider than in the homogeneous
 338 half space, whereas the contour line of $-0.7\text{ }\mu\text{rad}$ spreads out less. Along
 339 the well’s vertical axis tilt is not as in the homogeneous half space. Due to
 340 numerical limits in contour line plotting this feature is not clearly obvious
 341 in Fig. 7(c, f), but a closer inspection shows that in Fig. 7(f) at $x = 0\text{ m}$
 342 and $z = -30\text{ m}$ a local positive tilt maximum exists in between the zero-
 343 line. Below the well the tilt zero-line bends slightly towards the interface

and is located at $x = 10\text{ m}$ at the bottom of the model domain. In block 2 variations in tilt amplitudes are less pronounced than in block 1 and $\Delta\gamma_x$ is negative throughout; its value decreases from $-2.5\text{ }\mu\text{rad}$ on top of the fault to $-0.2\text{ }\mu\text{rad}$ at $x = 300\text{ m}$, at the model bottom. Along the well's axis above and especially below the well's centre, the direction arrows of deformation show a slight deflection from the vertical. Below the well they have a component towards the interface.

FIGURE 07

Fig. 8 presents 2D slices which show horizontal field patterns in x-y planes at four different shallow depths of both models of Fig. 7. The depths between $z = -5\text{ m}$ and $z = -10\text{ m}$ are typical installation depths for near surface tilt measurements [33, 32, 1, 34, 3]. The figure's left hand side (Fig. 8(a-d)) comprises slices of the homogeneous half space model of small-grained sand (compare Fig. 7(a-c)). The figure's right hand side (Fig. 8(e-h)) shows slices of the half space model with interface (compare Fig. 7(d-f)). The contour lines delineate total tilt ($\Delta\gamma = \sqrt{\Delta\gamma_x^2 + \Delta\gamma_y^2}$). Except of some loops and undulations due to small amplitude variations in Fig. 8(a-d) and a model resolution of some 10 m , nearly circular contour lines, a circular grey scale pattern for the pore pressure gradient and arrows for the deformation direction pointing towards the well reflect the undisturbed subsoil. The $1.5\text{ }\mu\text{rad}$ contour line in Fig. 8(a) and the $1.2\text{ }\mu\text{rad}$ contour line in Fig. 8(b) each appear twice, so that strongest tilt must appear in between. In Fig. 8(d) the tilt zero-line cuts the x-axis at $x = -60\text{ m}$ and $x = 60\text{ m}$ and is also indicated by a reversal of the deformation direction arrows.

369 In the model with material interface (Fig. 8(e-h)) an anomalous total tilt
 370 maximum of more than $2.5 \mu rad$ appears next to the interface. Particularly
 371 close to the surface at $z = -5 m$ (Fig. 8(e)) this feature is outstanding. The
 372 contour line is lobe-shaped and has no circular symmetry with respect to
 373 the well's position. Moreover, the $1.5 \mu rad$ contour line also shows some
 374 asymmetry in its course in block1 of the model on the one hand and in
 375 block2 on the other hand. An anomalous pattern caused by the interface
 376 is also visible in Fig. 8(e-h) in the grey-scale pattern of the pore pressure
 377 gradient and in the deformation direction indicated by the arrows.

378 FIGURE 08
 379

380 4.1. *Summary and interpretation of results*

381 The modelling results shown in Fig. 7 predict that if an interface exists
 382 in the subsoil, pump induced tilt and pore pressure fields – especially in
 383 the vicinity of the interface – will deviate strongly from those of a subsoil
 384 without an interface. The corresponding horizontal slices in Fig. 8 confirm the
 385 existence of strong and measurable anomalies, also close to the surface. Major
 386 deviations caused by the existence of an interface are (1) non-axisymmetric
 387 tilt and pore pressure fields with respect to the well's vertical axis, (2) steeper
 388 pore pressure gradients in block1, at the interface and in parts of block2
 389 near the interface, (3) intensification of the surface tilt maximum at the
 390 side of block1 towards the interface, while (4) the horizontal position in the
 391 x-coordinate of the tilt maximum at surface differs from the homogeneous
 392 half space case of $1/\sqrt{2}$ times the well screen depth and (5) a tilt maximum
 393 is located directly next to the interface, (6) a tilt zero-line not encircling

the well position and a significant change in its course, (7) a different tilt field pattern especially between well and interface, (8) change in tilt strike direction particularly below the well, where strike direction is shifted towards the interface.

Generally, next to a less permeable boundary, withdrawal of fluid will happen from a reduced catchment volume, if compared with a homogeneous half space. Well drawdown will be stronger and higher pore pressure gradients appear particularly in the reduced volume between well and boundary (i.e. the interface). Resulting high pore pressure gradients are likely to be the cause for strong pump induced tilt amplitudes. This effect should be even more intense in a layered half space, where horizontal aquicludes further reduce the catchment volume of the well, especially with a fault as a fluid barrier.

According to Lehmann [1] and Wang and Kümpel [17] in a homogeneous subsurface with a 100 m deep well the surface tilt maximum would appear within a radial distance of 70 m from the vertical well axis. However, in the model with an interface the surface maximum seems to focus to where the material interface crops out at the surface. To test this observation, models with the same geometry as before (Figs. 4 and 7(f)), but different interface locations in x-position were calculated. Location and absolute amplitude of the tilt maxima at the surface, at the side closest to the interface are extracted and shown in Fig. 9. Amplitudes, i.e. the absolute values of tilt maxima for different interface distances lie within $2.4\text{ }\mu\text{rad}$ and $4.3\text{ }\mu\text{rad}$ – with the amplitude of the surface maximum of the homogeneous half space model as the lower limit. Hence, the existence of a material interface causes higher

419 surface tilt maximum amplitudes. In Fig. 9 a trend of increasing amplitudes
 420 with decreasing well-interface distances is obvious, with the exception of the
 421 model with 20 *m* distance. This trend indicates that the closer the interface is
 422 to the well, the higher is the surface tilt maximum. However, if the interface
 423 is too close (20 *m*) the higher rigidity of the subsurface material beyond the
 424 interface (in block 2) causes smaller amplitudes. The surface maximum of
 425 the homogeneous half space solution is located 73 *m* away from the vertical
 426 well axis and thus fulfils the rule to be at $1/\sqrt{2}$ times the well depth within
 427 the resolution of the FE mesh at the surface. Regarding the positions of
 428 tilt surface maxima in models with interface, the maxima tend to follow the
 429 interface. At well interface distances of 20 *m* and 40 *m*, the maximum locates
 430 right at the interface (within the spatial resolution limited by discretisation).
 431 At larger distances from the well, i. e. 60 *m*, 80 *m* and 125 *m*, the maximum
 432 appears at the interface, but for 150 *m* and 175 *m* distance the maximum
 433 locates close to the maximum calculated for the homogeneous half space
 434 and the amplitude is only slightly stronger. Most likely due to the large
 435 distance of the interface from the well, the influence of the interface on the
 436 tilt field is too small to significantly bias the tilt field. The tilt field thus looks
 437 more like that of the homogeneous half space. Accordingly, at well-to-fault
 438 distances larger than 150 *m* the tilt maximum approximates the one of the
 439 homogeneous half space. Amplitudes in a setting with a fault always exceed
 440 those of a homogeneous one. This is due to a reduced catchment volume
 441 bounded by a less permeable material which causes stronger pore pressure
 442 gradients.

443 FIGURE 09

444

445 A similar approach as before was applied to investigate the dependency
 446 of the position of the surface tilt maximum on the depth of the well. The
 447 well is at $x = 0\text{ m}$ and the interface is kept fixed at $x = 70\text{ m}$ horizontal
 448 distance from the well. This position of the interface is exactly where the
 449 surface tilt maximum would appear in a homogeneous half space for a well
 450 depth of $z = -100\text{ m}$. However, in a sequence of models the well depth is
 451 subsequently changed from -20 m to -175 m . Fig. 10 shows locations and
 452 amplitudes of the total tilt maxima at the surface, at the side closest to the
 453 interface. Amplitudes at the surface decrease as the well depth increases.
 454 With increasing well depth the tilt maximum at the surface moves towards
 455 the interface, but if the well is at a depth of -100 m and deeper, tilt maxima
 456 at the surface seem to locate at about 5 m in front of the interface, but do
 457 not appear beyond it for larger well depths. For a comparison Fig. 10 also
 458 shows the position of tilt maxima in a homogeneous half space. For instance,
 459 for a well depth of -60 m the maximum is shifted towards the interface and
 460 occurs at $x = 60\text{ m}$, whereas for a homogeneous half space this maximum
 461 would appear at $x = 42\text{ m}$. Positions of surface maxima for a half space with
 462 interface and homogeneous half spaces nearly agree for well depths of -80 m
 463 and -100 m , but if the well is deeper the interface seems to act as a barrier
 464 for the appearance of the surface tilt maxima.

465 FIGURE 10

466

467 5. Layered half space

468 A more realistic representation of a sedimentary subsoil with fault might
469 be a layered half space cut by a fault zone of finite length as shown in Fig. 2.
470 In section 5.1 the results for the model shown in Fig. 2(b) are described and
471 compared to those of a layered subsoil without a fault (Fig. 2(a)). The poroe-
472 lastic properties within the fault are those of loam, which is less permeable
473 then small-grained sand and higher permeable than clay (compare Tab. 1).
474 In the upper part of the fault zone, where no mixing is assumed, poroelastic
475 parameters are those of small-grained sand. In section 5.2 the results for
476 Fig. 2(c) are presented. Instead of a mixed materials fault zone, the four
477 layers of the formation in block 1 are offset downwards by two 25 m steps.
478 All results are described in the same manner as in section 4. The well lies
479 in block 1 within the confined aquifer (layer 3). Block 2 comprises the model
480 region on the other side of the fault.

481 5.1. Fault zone of mixed material

482 With the same representation as in Fig. 7, Fig. 11(a-c) shows the mod-
483 elling results of the COMSOL calculations for an x-z cross-section at $y = 0\text{ m}$
484 for the undisturbed layered subsoil (Fig. 2(a)). Fig. 11(a) depicts surface tilt
485 at $z = 0\text{ m}$ which is symmetric with respect to $x = 0\text{ m}$ (well-axis) where
486 tilt is zero. The maximum locates at $x = -140\text{ m}$ and $x = 140\text{ m}$ with an
487 amplitude of $-7.1\text{ }\mu\text{rad}$. This agrees with observations from Fabian [3] who
488 investigated the effect of a layered subsoil. He found that a layered subsurface
489 causes the surface tilt maximum to occur at the same or at a larger distance
490 than expected for a homogeneous subsurface. The pore pressure gradient at

491 the surface is also symmetric to a maximum of $3 \cdot 10^{-5} \text{ kPa/m}$ at $x = 0 \text{ m}$.

492 In Fig. 11(c) grey-shaded filled contours represent the pore pressure gra-
493 dient, contour lines show tilt in the x-direction ($\Delta\gamma_x$) and vectors show the
494 direction of deformation. The pore pressure gradient is strongest at the well
495 screen (50 kPa/m) and decreases radially within the pumped layer. Although
496 amplitudes vary within the distinct layers a decrease in the pore pressure
497 gradient occurs with increasing horizontal distance to the well's vertical axis.
498 The strongest pore pressure gradients appear, along with the strongest tilt
499 values, at layer boundaries. In the pumped aquifer (layer 3, compare Fig. 2)
500 a butterfly-shaped tilt contour line structure is similar to that of a homoge-
501 neous half space (Fig. 7(c)), but with sharp corners at the upper and lower
502 layer boundaries and a local maximum in the lower aquiclude (x : -700 m
503 to -250 m and 250 m to 700 m , z : -120 m to -175 m). The white tilt zero-
504 line coincides with the vertical well axis. Between the well and the upper
505 aquiclude (layer 2) this line has a convex-shaped form bounded by the hor-
506 izontal layer interface. The tilt zero-line does not appear shallower than
507 -45 m , in contrast to about -25 m depth as in the homogeneous half space
508 (Fig. 7(c)). At $x = 0 \text{ m}$ (well-axis) all deformation vectors point vertically
509 downwards. The vectors to the left and to the right are symmetrically de-
510 flected with respect to the well axis. Generally, all tilt and pore pressure
511 gradient patterns show this type of cylinder symmetry. Slices of the fields in
512 x-y planes at different depths are not shown, as they show a typical radially
513 symmetric pattern similar as for the homogeneous half space (Fig. 8(a-d)).

514 Fig. 11(d-f) shows pump induced tilt, pore pressure gradient and defor-
515 mation for a layered subsoil cut by a fault zone. As before, surface tilt and

pore pressure gradients on the symmetry plane ($y = 0\text{ m}$) are presented in
 the top panels (Fig. 11(d, e)). Tilt has strong and sharp anomalies. A pro-
 nounced minimum of $-40.5\text{ }\mu\text{rad}$ is located at $x = 110\text{ m}$ on top of the fault
 zone. A surface tilt peak of $+12.1\text{ }\mu\text{rad}$ occurs at $x = 0\text{ m}$ on top of the well.
 This positive tilt maximum causes two locations of zero-tilt at the surface,
 at $x = -70\text{ m}$ and in between $x = 0\text{ m}$ and $x = 5\text{ m}$, where a strong gradient
 prevails. The pore pressure gradient along the surface is not symmetric ei-
 ther. The maximum does not locate at $x = 0\text{ m}$ as in Fig. 11(b). Instead, it
 appears just behind the fault at $x = 130\text{ m}$ with a more than 10 times higher
 amplitude compared to a setting without a fault.

In Fig. 11(f) the pore pressure gradient has large values in layer 2 and
 within the fault zone because both these structures act as barriers. Am-
 plitudes are larger in block 1 than in block 2. A local minimum as low as
 0.01 kPa/m appears along the fault zone's margin to block 1 in the con-
 fined aquifer (layer 3). Within the fault zone the pore pressure gradient
 varies from 0.01 kPa/m to 1 kPa/m over the fault's width of 20 m . At larger
 depths (Fig. 11(f)) local tilt maxima occur at layer boundaries and especially
 in corners formed by layer interfaces, where strong tilt gradients are induced.
 The butterfly-like tilt pattern as in Figs. 7(c) and 11(c) are hardly recognis-
 able. The tilt zero-line encircles small areas, e. g. at the upper right corner of
 the confined aquifer in block 1, at $x = 100\text{ m}$ and $z = -75\text{ m}$. At $x = -5\text{ m}$
 the tilt zero-line roughly follows the vertical from layer 2 to the bottom of
 the model area with an excursion below the well and layer 4. Aside, the
 tilt zero-line describes a curvy course from top to bottom at the left side
 of the well and intersects layer 2 at about $x = -100\text{ m}$. Within the fault

541 zone tilt amplitudes vary vertically from $-40.5 \mu rad$ at the top down to a
 542 minimum value of $1.0 \mu rad$ at $z = -75 m$ and then increase again to a lo-
 543 cal maximum of $-21 \mu rad$ at $z = -150 m$. A local tilt anomaly slightly
 544 higher than $-3.0 \mu rad$ is visible at $x = -820 m$ at a depth of $z = -135 m$.
 545 Tilt amplitudes in block 2 range between $-1 \mu rad$ and $-35 \mu rad$ and appear
 546 strongest close to the fault, whereas in block 1 they range between $10 \mu rad$
 547 and $-38 \mu rad$ and spread throughout the complete model block. Deforma-
 548 tion is purely vertical along a diagonal line from the surface at $x = -500 m$
 549 down to the bottom at $x = -620 m$. Near the well and the fault, deforma-
 550 tion direction vectors have a strong x-component which points towards this
 551 diagonal line.

552 FIGURE 11

553
 554 Fig. 12(a-d) shows four slices with pump induced tilt, pore pressure gra-
 555 dient and deformation fields for the model with four layers and a $20 m$ wide
 556 fault zone with the same representation as before (Fig. 8). All depth slices
 557 locate above the fault mixing zone and except for block 1 in (d), within the
 558 upper aquifer. As for the model with a single interface (Fig. 8(e-h)) field
 559 patterns deviate from the cylinder symmetry of the homogeneous half space
 560 case and the layered half space. Anomalies are remarkable: The pore pres-
 561 sure gradient is zero in the upper $10 m$ to the left of the well ($x < 0 m$) and
 562 at $x > 300 m$. At $z = -20 m$ values increase in block 1. At $-35 m$ depth
 563 the pore pressure gradient is significantly increased in block 1 of the model.
 564 At this depth lies the upper aquiclude (layer 2). Strong anomalies in tilt
 565 contour lines as well as in the pore pressure gradient field appear close to

the fault zone. In Figs. 12 (a, b) the $4.5\mu rad$ total tilt contour line appears twice in block 1. The nearby $5.1\mu rad$ contour indicates a tilt maximum in between, corresponding with Fig. 11(f). On the other hand, in Fig. 12 (a, b) the total tilt contour lines of 1.8 , 3.7 and $4.5\mu rad$ are closed loops in block 1 and encircle a local tilt maximum at about $x = -80 m$. Also remarkable is the direction of deformation at the different depths. A fictitious point of attraction for the deformation direction arrows is at the x-axis. The point changes its location along the x-axis: near the surface it locates at approximately $x = -70 m$, at $z = -20 m$ it can be found at $x = -100 m$ and at $z = -35 m$ at $x = -90 m$.

FIGURE 12

Modelling results shown in Fig. 11 and 12 reveal strong anomalies in tilt, pore pressure gradient and deformation fields in a layered subsoil with a fault zone (Fig. 11(d-f)) with respect to a layered subsoil without a fault (Fig. 11(a-c)). Therefore, a fault in a layered subsoil can cause (1) a complete disturbance of the symmetry with respect to the vertical well axis in pore pressure gradient, tilt and deformation fields, (2) a strong tilt surface maximum at the outcrop of the fault, (3) two locations of zero-tilt at surface, (4) local tilt maxima with steep tilt gradients located preferably at edges and corners of layers, (5) an isolated tilt maximum at a larger distance from well and (6) vertical deformation (a subsidence trough) not above the well.

5.2. Step fracture

In contrast to the foregoing models, a four-layer subsoil with a step fracture is presented (Fig. 2(c)). The results of this model are illustrated in the

591 same manner as the previous models.

592 Surface tilt in the the x-direction (Fig. 13(a)) is not symmetric with re-
593 spect to the well. A pronounced negative tilt maximum of $-5.4 \mu rad$ and
594 a less prominent one of $-2.5 \mu rad$ exist at $x = 110 m$ and $x = -150 m$, re-
595 spectively. In between, a positive maximum of $0.4 \mu rad$ causes zero-tilt to
596 occur at $x = -12 m$ and $x = 0 m$. At distances of $900 m$ from the well's axis,
597 surface tilt levels just below $-1 \mu rad$ at both sides. The surface pore pressure
598 gradient has two maxima; $1.2 \cdot 10^{-5} kPa/m$ at $x = 0 m$ and $1.6 \cdot 10^{-5} kPa/m$
599 at $x = 125 m$, with a minimum of $1.1 \cdot 10^{-5} kPa/m$ in between. The gra-
600 dient decreases monotonically with increasing distance from the well. The
601 vertical slice in the x-z plane is shown in Fig. 13(c). Pore pressure gradient
602 amplitudes change significantly in the different layers of block 1, block 2 and
603 within the stepped fault. Here, the pore pressure gradient variations range
604 between $0.001 kPa/m$ and $0.1 kPa/m$. At the well screen the pore pressure
605 gradient reaches $22 kPa/m$. As described for the surface tilt, the tilt field in
606 the subsurface is not symmetric either. Gradients of tilt are high, including
607 sign changes at corners where different materials locate next to each other,
608 e.g. inside the fault zone at depths of $z = -50 m$ and $z = -75 m$. The
609 tilt zero-line follows the vertical well axis from the well to the surface. A
610 butterfly-pattern is not clearly obvious. Nevertheless, strongly deformed up-
611 per wings encircled by the white zero-tilt line are imaginable. The right wing
612 is contracted and much smaller than in a subsoil without a fault (Fig. 11(c))
613 whereas the left wing is wider and extends up to the surface. Purely vertical
614 deformation occurs along a vertical line at about $x = -30 m$. All other vec-
615 tors have a small x-component towards this line, which becomes less strong

616 as the distance increases.

617 FIGURE 13

618

619 Fig. 14 shows four horizontal slices of the model of a layered soil cut by
620 a step fracture. Illustrations are identical to those of Figs. 8 and 12. The
621 pore pressure gradient, tilt and deformation field in the upper aquifer (layer
622 1) is shown in the upper three panels (Fig. 14(a-c)), in block 1 in Fig. 14(d)
623 and in the fault zone. Pore pressure gradients are extremely small, with
624 maximum values as low as 0.001 kPa/m . The area of block 1 in Fig. 14(d)
625 shows a part of layer 2 (clay) that overlies the well. Here, pore pressure gra-
626 dient amplitudes are significantly higher reaching a maximum of 0.25 kPa/m
627 at the well's vertical axis. Within the fault from $x = 100 \text{ m}$ to $x = 120 \text{ m}$
628 the gradient decreases rapidly. Contour lines of total tilt encircle a fictitious
629 centre which locates at about $x = -10 \text{ m}$, i. e. 10 m away from the well's
630 centre. In Fig. 14(a) the total tilt contour lines of 1.8 and $2.3 \mu\text{rad}$ appear
631 twice. Therefore, a tilt maximum must be in between these lines, namely at
632 $y = 0 \text{ m}$ and $x = -180 \text{ m}$. This maximum corresponds with the representa-
633 tion of Fig. 13(a). The fault adds a strong anomaly to the tilt contour line
634 pattern. Beyond the fault in block 2 tilt amplitudes decrease rapidly with
635 horizontal distance to the fault as well as with increasing depth and they
636 show smaller amplitudes than in block 1. Tilt amplitudes also decrease from
637 the tilt maximum in block 1 (at the surface at $x = -180 \text{ m}$, $y = 0 \text{ m}$) towards
638 the model's left side. All shown deformation vectors point towards a point
639 near the well's axis at about $x = -10 \text{ m}$, $y = 0 \text{ m}$.

640 FIGURE 14

641

642 The pump induced fields of a layered model with a step fracture-type zone
643 (Figs. 13 and 14) are anomalous with respect to those of a model without a
644 fault (Fig. 11(a, b)). Anomalies include (1) a disturbance of the symme-
645 try with respect to the vertical well axis in pore pressure gradient, tilt and
646 deformation fields, (2) tilt surface maximum increase at the fault, (3) two
647 locations of zero-tilt at surface and (4) local tilt maxima with steep tilt gra-
648 dients located preferably at edges and corners of layers. These anomalies
649 are similar to those reported for the model of a layered subsurface cut by
650 a fault zone filled partially with loam (Fig. 11). Overall, amplitudes of tilt
651 and pore pressure gradients in this model (layered half space with step frac-
652 ture) are slightly smaller than those of the model without a fault (compare
653 Figs. 13(a-c) and 11(a-c)). They are significantly smaller than amplitudes in
654 a model with uniform fault zone of loam (compare Figs. 13(d-f) and 11(d-f)).
655 In contrast to a fault zone of loam, a step fault zone provides pathways for
656 fluids in its segments of higher permeability. High pore pressure gradients are
657 unlikely to build up because the pumped aquifer is only partially bounded by
658 the fault. Tilt amplitudes are consequently less strong. A fault of good con-
659 ductivity could even cause groundwater to flow from the upper free aquifer
660 in block2 through a pathway in the fault zone into the confined aquifer in
661 block1 to partially by-pass confinement and feed the well.

662 6. Discussion

663 As calculated for example by Fabian [3] the course of the tilt zero-line
664 within a subsoil is influenced in a consistent way, if layers are present. The

665 tilt maximum at surface is horizontally shifted to larger distances compared
666 to the homogeneous half space. At the surface the direction of deformation
667 and zero-tilt are as for the homogeneous half space. Horizontal layers do
668 not disturb cylinder symmetry of pump induced fields with respect to the
669 well axis, but those layers bias the field pattern laterally. Our results from
670 modelling homogeneous and horizontally layered half spaces (Figs. 7(a-c) and
671 11(a-c)) confirm those earlier modelling results.

672 Anomalous tilt signals in various sedimentary basins in Germany were
673 measured by Fabian and Kümpel [34]. The authors found that the tilt strike
674 direction does not agree with the direction towards the well. Furthermore,
675 they noticed a surface distribution of tilt amplitudes different to what they
676 expected from typical tilt fields of a homogeneous or layered subsurface.
677 Based on the before shown numerical models it is obvious that a fault in the
678 vicinity of a pumping well disturbs the symmetry of deformation, tilt and
679 pore pressure fields with respect to the vertical well axis. Accordingly, a fault
680 in subsoil is very likely to attribute to anomalies in pump induced fields at
681 the surface.

682 The models of this study which deal with a fault that acts as a barrier
683 to fluid flow show that strong anomalies in pump induced tilt, pore pres-
684 sure gradient and deformation can occur. Nevertheless, depending on the
685 particular geological regime, faults can also provide pathways for fluid flow
686 [36, 27]. Fluids from aquifers at the opposite side of the fault and possibly
687 from aquifers above or below the pumped aquifer can flow within the fault
688 towards the well. In such a scenario pump induced pore pressure gradients
689 become small and comparatively low tilt amplitudes occur. The modelling

690 results for a fault with step fractures as shown in Fig. 13 confirm that pump
691 induced anomalies especially at the surface are not that strong, if the fault
692 acts only partially as a fluid barrier. On the other hand, if a tilt survey
693 reveals very strong anomalies, this can be interpreted as a fault acting as
694 an efficient barrier, whereas a weak anomaly located on top of a fault might
695 document pathways in the subsoil.

696 All interpretations are based on models that include a number of simpli-
697 fications and assumptions. By applying the theory of linear poroelasticity
698 it is assumed that variations in physical parameters are so small that lin-
699 ear system behaviour is a good approximation to reality. However, many
700 geologic processes show significant parameter changes and are inelastic, e. g.
701 clays tend to display non-recoverable behaviour [37]. Other non-linear in-
702 fluences of deformation on the poroelastic parameters are chemical reactions
703 or the closing of pore spaces. Wilson and Gorelick [38] suggested that in-
704 elastic properties are predominantly enhanced by cyclical pumping, which is
705 not simulated here. Also, the soil is assumed to be fully water saturated.
706 Tseng et al. [39] showed that neglecting groundwater drawdown as it occurs
707 in partly saturated soils, can lead to an underestimation of soil displacement.
708 Models in this study do not account for soil consolidation which may result
709 in a porosity decrease. Neither do they consider the fact that the water
710 originally contained inside the well is pumped out first before pumping from
711 the aquifer starts nor that the water level depression near the well is consid-
712 ered. Characteristics of a fault zone are also probably more complex than
713 assumed here. For instance, the shear modulus, G , is probably lower than
714 in the undisturbed subsurface as a fault zone represents a zone of weakness.

715 Topography is neglected in our modelling, however, a topographic change
716 represents at least additional loading, can cause compaction, and therefore
717 alter poroelastic parameters. These limitations may be significant if detailed
718 investigations of a specific model unit or feature have to be made. However,
719 effects of assumptions and simplifications made here are assumed to be of
720 minor significance for the general tilt and pore pressure fields. As this work
721 focuses on the general pattern of deformation in the subsoil this conceptu-
722 alization of a soil system is probably adequate. Because modelling in this
723 study is limited to steady-state cases, further investigations should deal with
724 the time dependency of signal build-up.

725 **7. Conclusion**

726 Based on numerical models it has been shown that a fault in a sedimentary
727 subsoil can have a significant influence on the deformation field in the surface.
728 For an interpretation of tilt data, faults or similar geological structures have
729 to be considered as they produce anomalies and thus bias the interpretation.
730 Amplitude changes due to these anomalies are of a few μrad or even stronger
731 and can be resolved by high-resolution tiltmeters. The location of the tilt
732 maximum at the surface, its amplitude and the course of the tilt zero-line
733 are also the subject of disturbance caused by subsurface interfaces or faults.
734 The surface tilt maximum preferentially locates on top of the fault zone and
735 achieves comparatively strong amplitudes if the fault zone acts as a barrier
736 to fluid flow. The strength of an observed tilt anomaly in pumping test
737 experiments can thus indicate whether a fault acts as a barrier or a pathway,
738 assuming that tiltmeters are installed at adequate positions. These facts

739 are vital for tilt surveys especially with a limited number of instruments.
740 Simplified 3D poroelastic models can reliably predict anomalies in a well-
741 known geological setting and thus provide the possibility to identify suitable
742 tiltmeter positions in advance to field studies.

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FIGURES

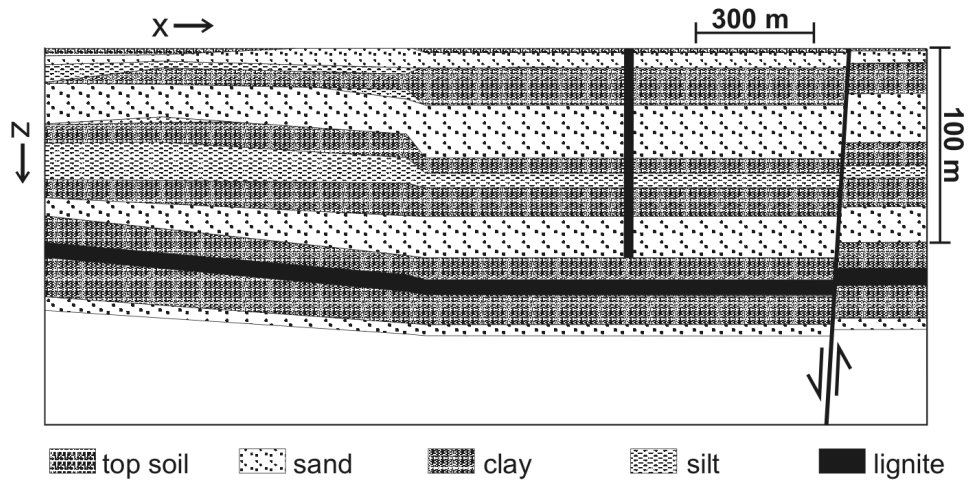


Figure 1: Typical geological cross section from the Lower-Rhine Embayment simplified and redrawn after Fabian [3]. At the top of a bedrock (white) a some hundred meter thick stack of unconsolidated fully saturated sediments with alternating layers of aquifers (sand) and aquicludes (clay, silt, lignite) bears groundwater. The black vertical line shows a possible position of a well tapping those aquifers, whereas the angled line on the right depicts a tectonic fault which offsets the layers.

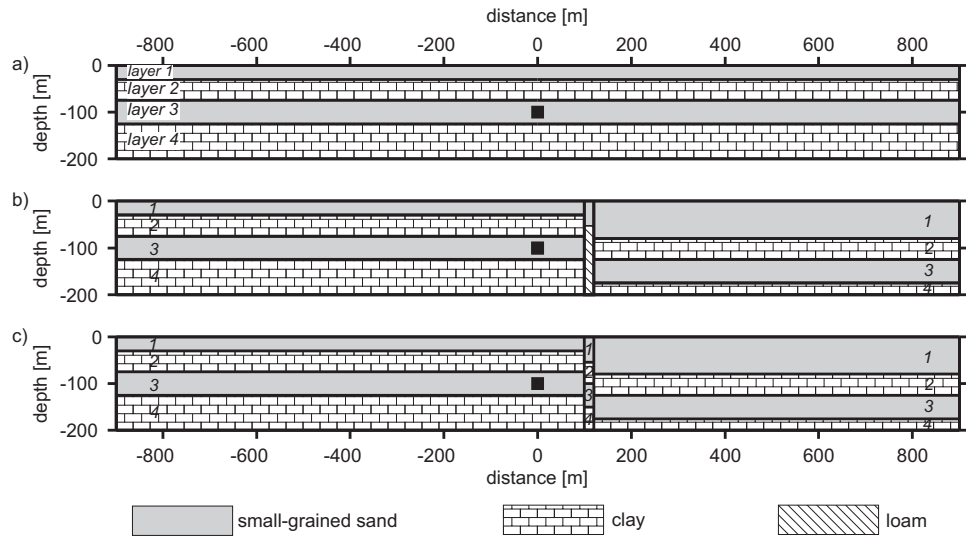


Figure 2: Sketch of models with layers. In Tab.2 they are referred to as "undisturbed" (a), "fault zone" (b) and "step fracture" (c). The well is marked by a black rectangle. Layers are numbered 1-4. Poroelastic parameters are given in Tab. 1.

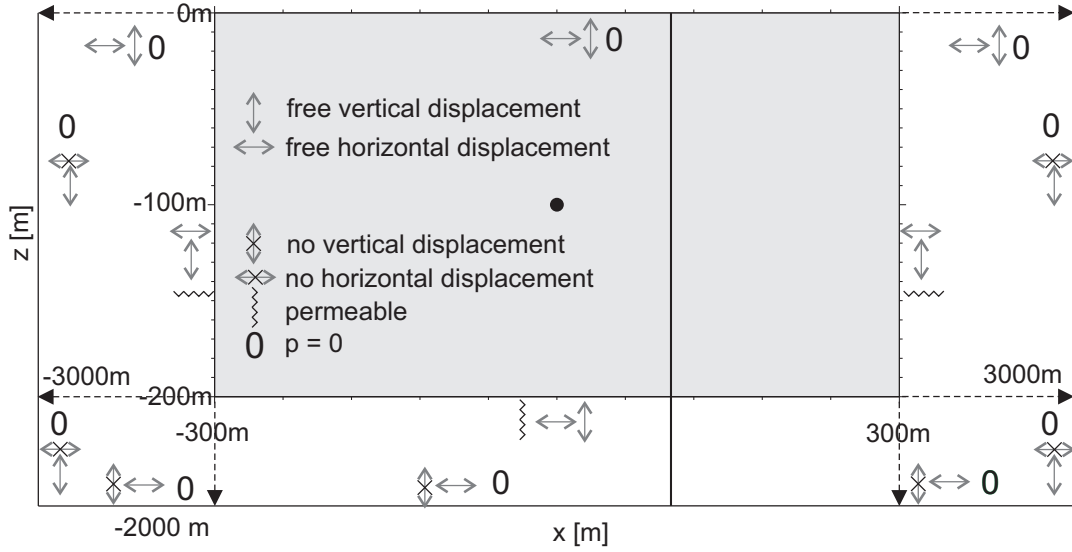


Figure 3: Boundary conditions depicted not true to scale as a 2D sketch in the symmetry plane (x - z plane at $y = 0$ m). The grey shaded area extending from -300 m to 300 m in x and 0 m to -200 m in z depicts the model domain. The not shown extension in y is from 0 m to 150 m. This model part is embedded into a ten times larger block of the same material composition extending from -3000 m to 3000 m in x , 0 m to -2000 m in z and 0 m to 1500 m in y . The contact surfaces between inner (grey) and outer part (white) can move freely and groundwater can pass without resistance. At the outer boundaries of the large model block (white) the surfaces perpendicular to x are fixed against motion in x , the surfaces perpendicular to y are fixed against motion in y and the bottom cannot move vertically. At those surfaces (excess) pore pressure vanishes. The free surface where pore pressure also vanishes is common for the complete model domain. The symmetry plane at $y = 0$ m cannot move in the y -direction and is impermeable to normal flux. The black circle marks the well position and the black vertical line depicts the material interface.

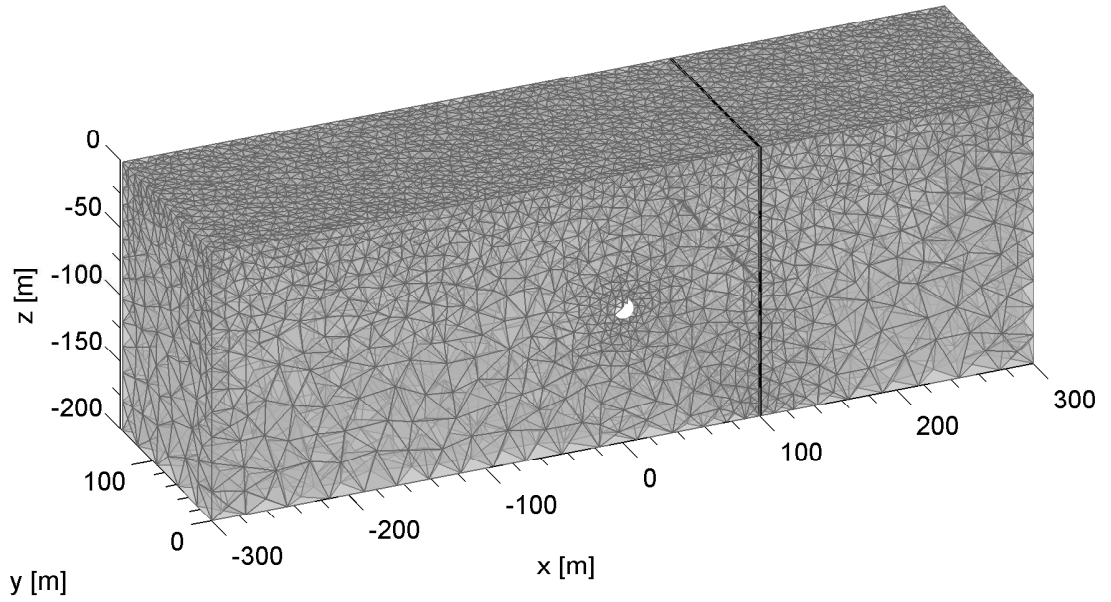


Figure 4: 3D FE model setup including the mesh. The black line at $x = 100$ m represents an interface of materials as established by a fault. The filled white circle at $z = -100$ m marks the position of the well screen. Outer boundaries at the bottom, the right and left sides and the back (not shown) are extended to ten times the dimension of the shown block in order to minimise the influence of boundary conditions.

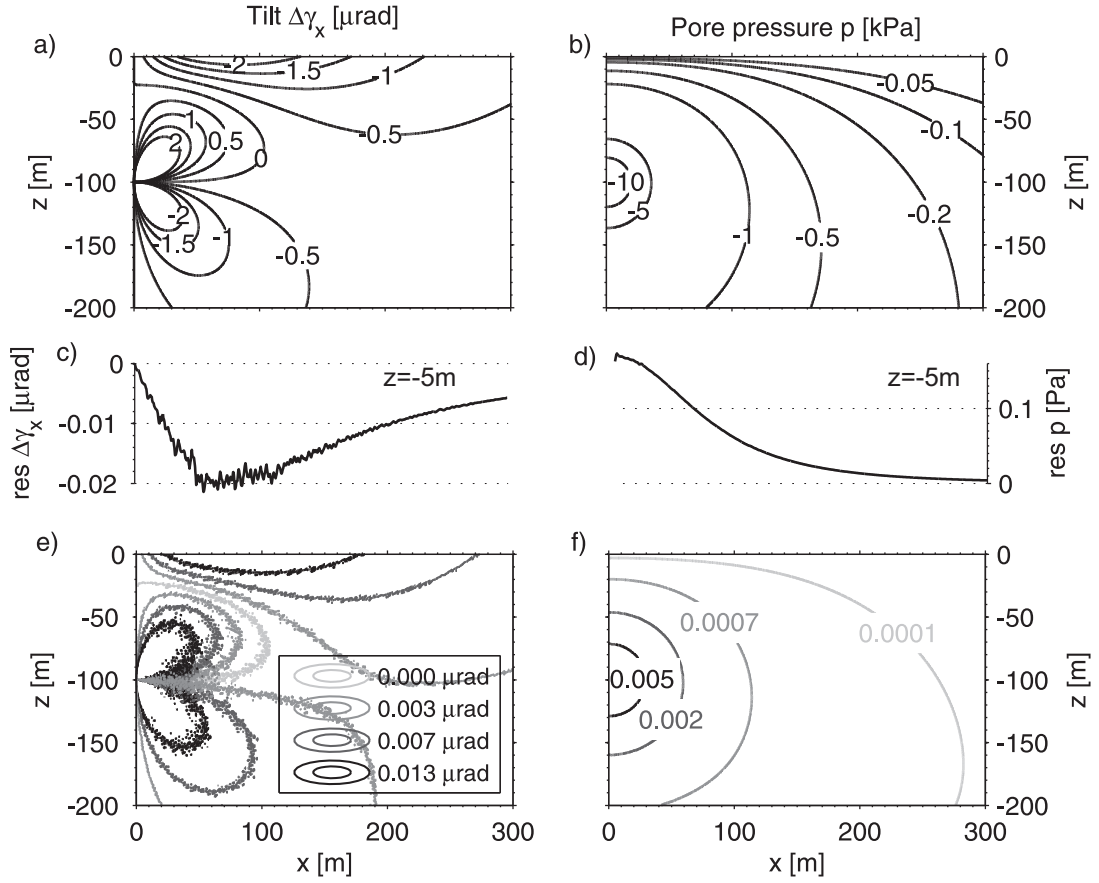


Figure 5: Analytical solution for a) tilt and b) pore pressure in a homogeneous half space of small-grained sand, near-surface residuals of the numerical solution for c) tilt and d) pore pressure and residuals in the whole model area (e, f). Tilt and pore pressure fields are radially symmetric to the vertical well axis at $x=0$. Contours for a) and e) are given in μrad and for b) and f) in kPa/m . The maximum element size that is used to obtain the numerical solution is 5 m .

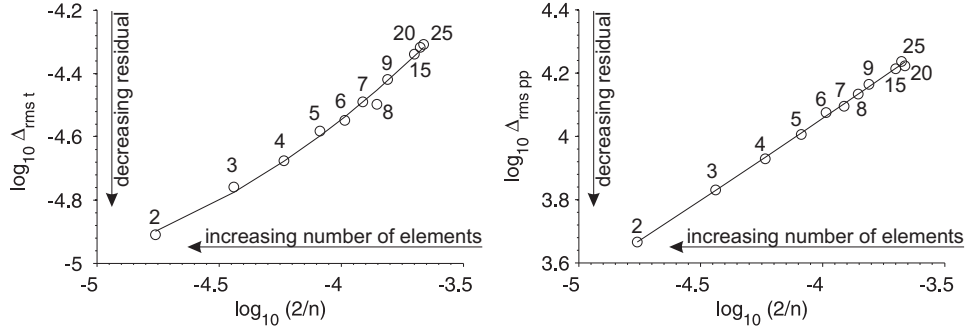


Figure 6: Convergence function of numerical solution towards analytical solution for tilt (left) and pore pressure (right) with a double logarithmic scale. Numbers next to the graph symbols indicate the maximum element size in meter, n is the number of elements, $\delta_{rms t}$ and $\delta_{rms pp}$ are the root mean square errors for tilt in rad and pore pressure in Pa, respectively.

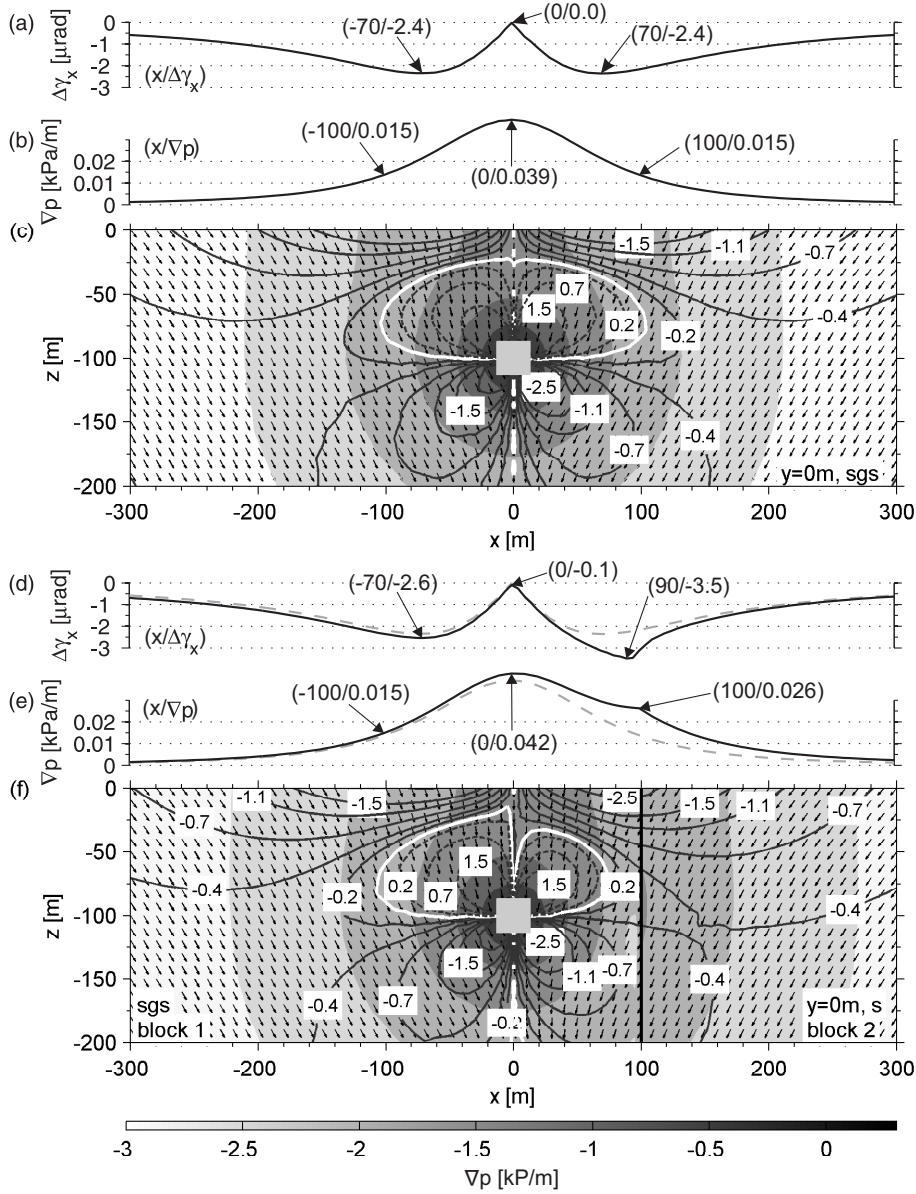


Figure 7: Solution for 3D FE models of a homogeneous half space of small-grained sand as reference (a-c) and a half space of small-grained sand (sgs, block 1) with an interface to silt (s, block 2) (d-f). (a) $\Delta\gamma_x$ and (b) ∇p along the surface with annotations of x -coordinate and amplitude in μ rad and kPa/m at important features, (c) x - z slice at $y = 0$ m showing pore pressure gradient (grey-scale), $\Delta\gamma_x$ (black contour lines with labels annotated in μ rad and dashed lines indicating positive values), zero tilt (white contour line) and the direction of deformation (black vectors). At the position of the well tilt and pore pressure cannot be calculated accurately, so that this area is shaded off. (d-f) have the same notation as (a-c). Surface tilt and pore pressure gradient shown in (a) and (b) are plotted in (d) and (e) as grey dashed lines.

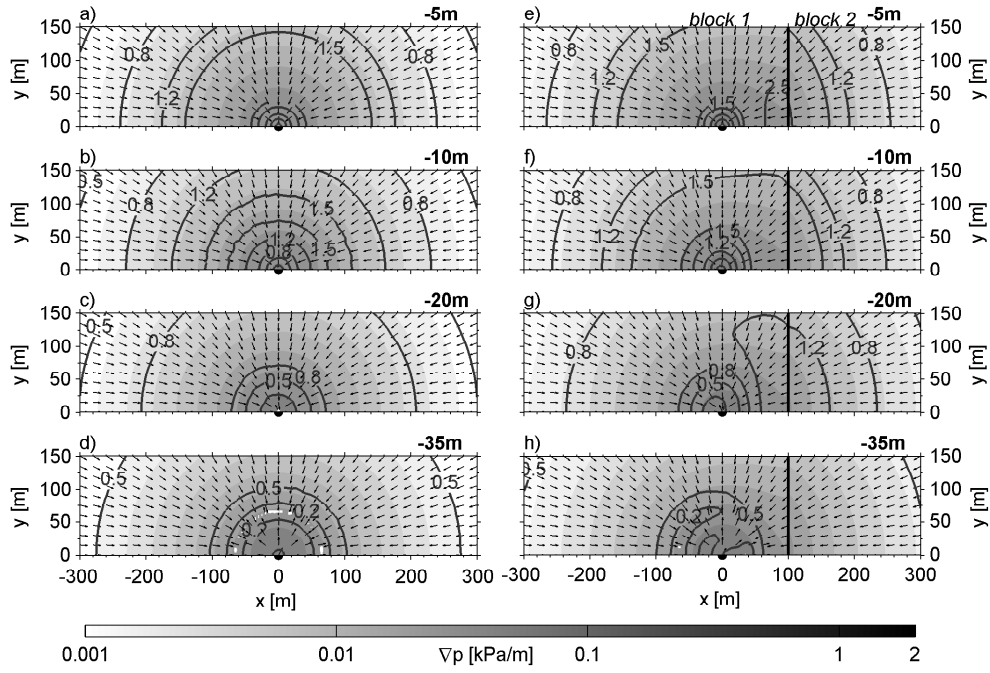


Figure 8: Horizontal slices at selected depths (x-y planes) showing total tilt $\Delta\gamma$ (contour lines), deformation direction (arrows) and pore pressure gradient (grey-scale) at depths of $z = -5\text{ m}$ (a, e), -10 m (b, f), -20 m (c, g) and -35 m (d, h). The slices on the left side (a-d) are from the homogeneous half space model of small-grained sand (Fig. 7(a-c)). The slices on the right side (e-h) are from the half space model with vertical interface (Fig. 7(d-f)). The dot at $x = y = 0\text{ m}$ marks the position of the well's vertical axis.

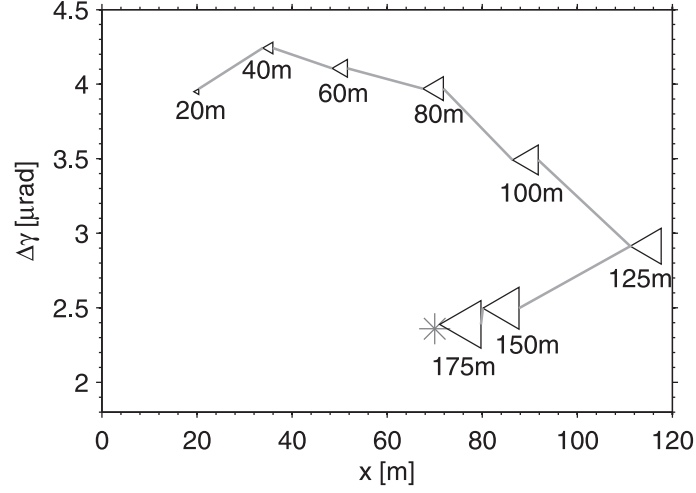


Figure 9: Amplitudes of surface tilt maxima plotted by left-pointing triangles versus their x coordinates at $y = 0\text{ m}$ for models with a vertical interface (as in Fig. 7(d-f)), but at different distances from the well. The distance between the interface and the well is given below each icon. The asterisk marks the tilt maximum in the homogeneous half space.

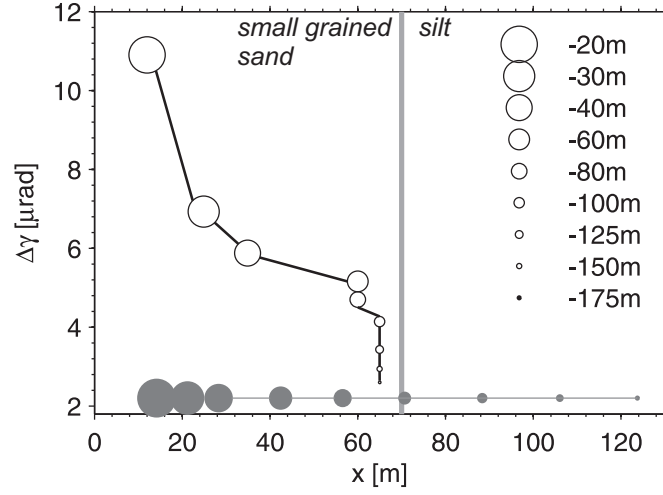


Figure 10: Amplitudes of surface tilt maxima plotted by black circles versus their x coordinates at $y = 0\text{ m}$ for models with a vertical interface (as in Fig. 7(d-f)), but with different well depths. Filled grey circles show positions of the tilt maxima in a homogeneous half space. Due to illustration reasons the amplitudes for the homogeneous half spaces are manually set to a uniform value of $2.3\mu\text{rad}$. The size of the circles indicates the well depth. The interface's position is marked by a grey line.

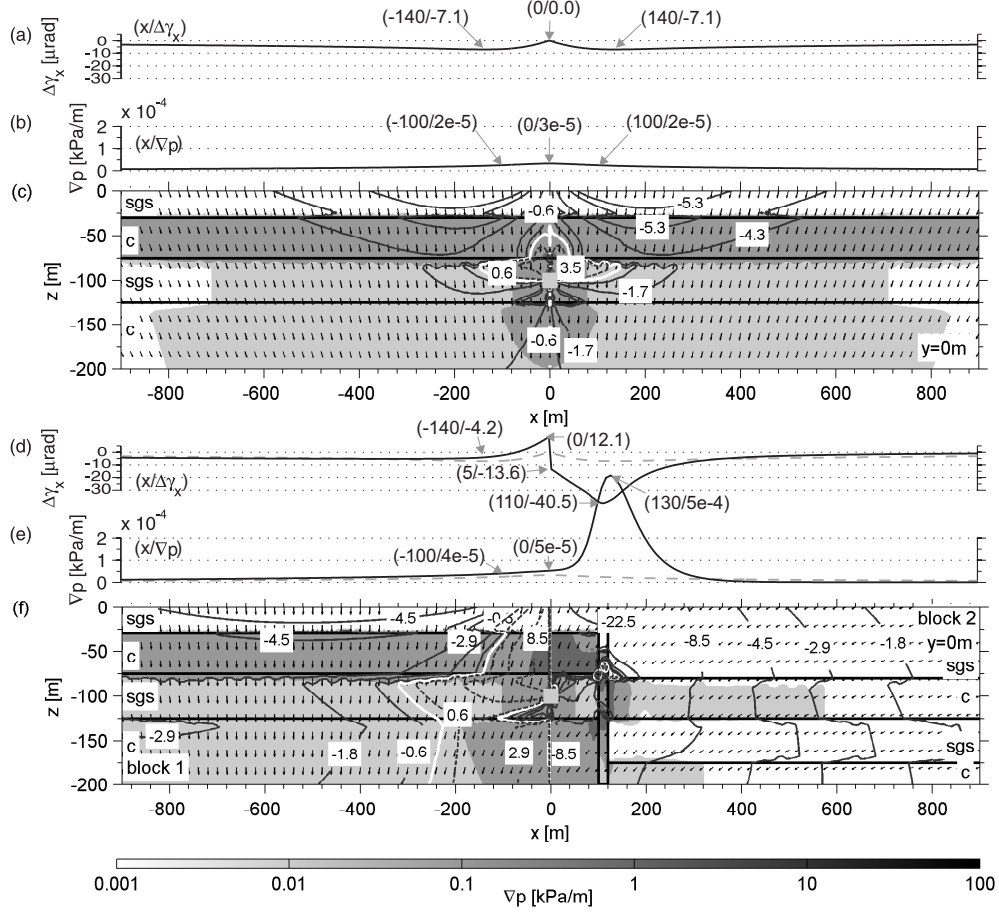


Figure 11: Solution for 3D FE models of a layered half space (a-c, see also geometry in Fig. 2(a)) and a layered half space that is horizontally interrupted between $x = 100 m$ and $x = 120 m$ and vertically displaced by a $20 m$ wide fault zone (d-f, geometry as in Fig. 2(a)). Abbreviations for sediment types: sgs; small grained sand, c; clay. The representation is as for Fig. 7: (a, d) $\Delta\gamma_x$ and (b, e) ∇p along the surface, (c, f) x-z slice at $y = 0 m$ showing pore pressure gradient (grey-scale), $\Delta\gamma_x$ (contour lines in μrad , dashed lines show positive values) and the direction of deformation (black vectors). It should be noted that 2D slices are vertically exaggerated.

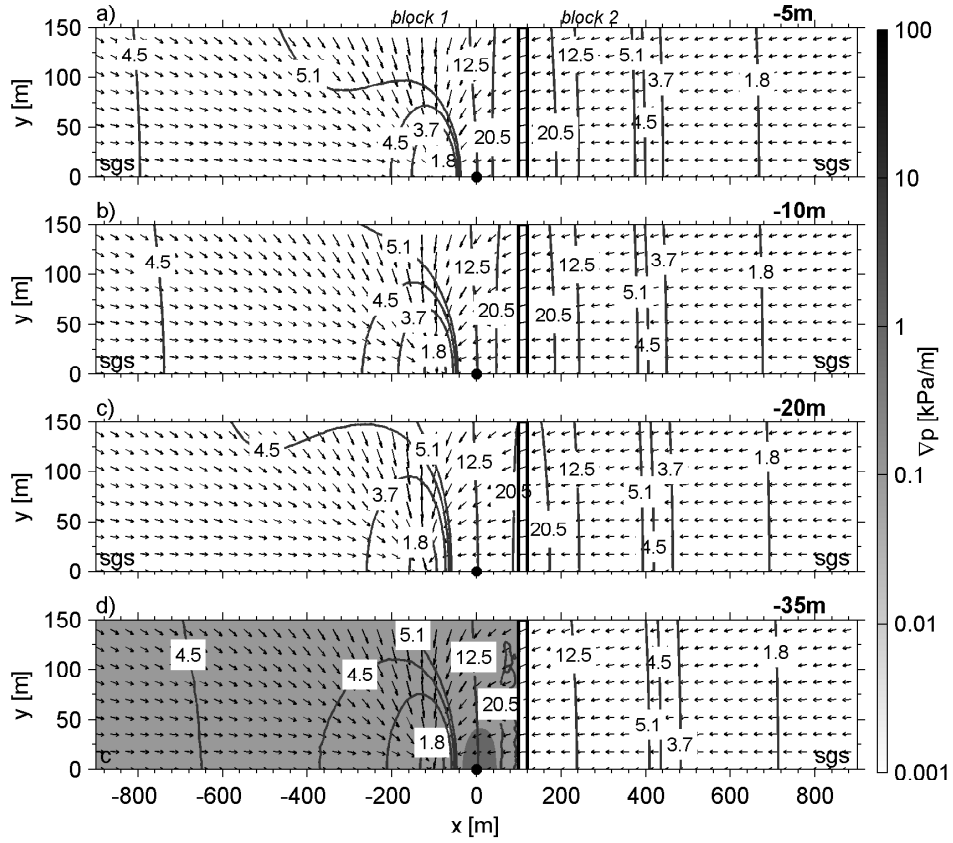


Figure 12: Horizontal slices at selected depths (x-y planes) of the four layer model with a vertical fault zone (Fig. 11(f)). Descriptions and symbols are as in Fig. 8. Numbers give the layer in which the slice is located. It should be noted that the slices are exaggerated in the y-direction.

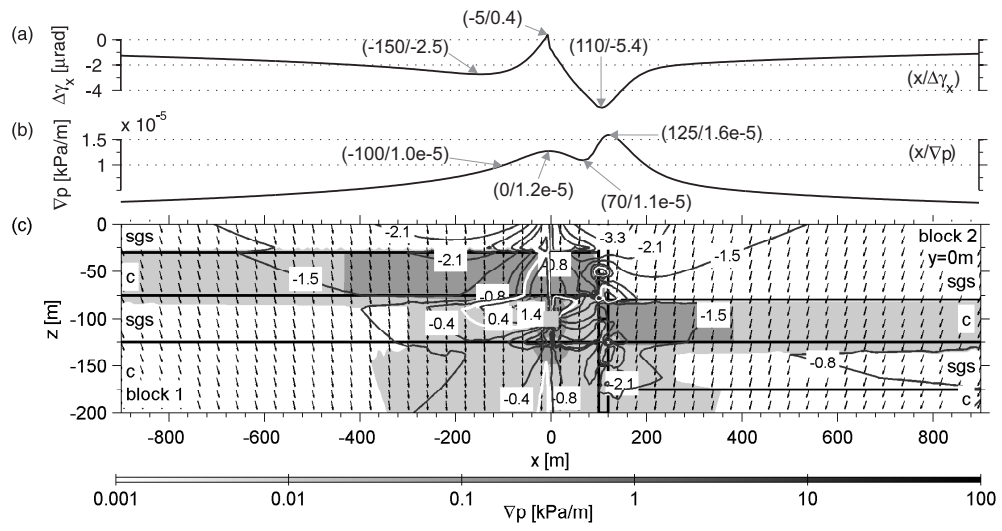


Figure 13: Solution for 3D FE models for a model of a layered half space with a fault zone with a two step fracture (Fig. 2(c)). Notations are identically to those used in Fig. 11. Slices are vertically exaggerated.

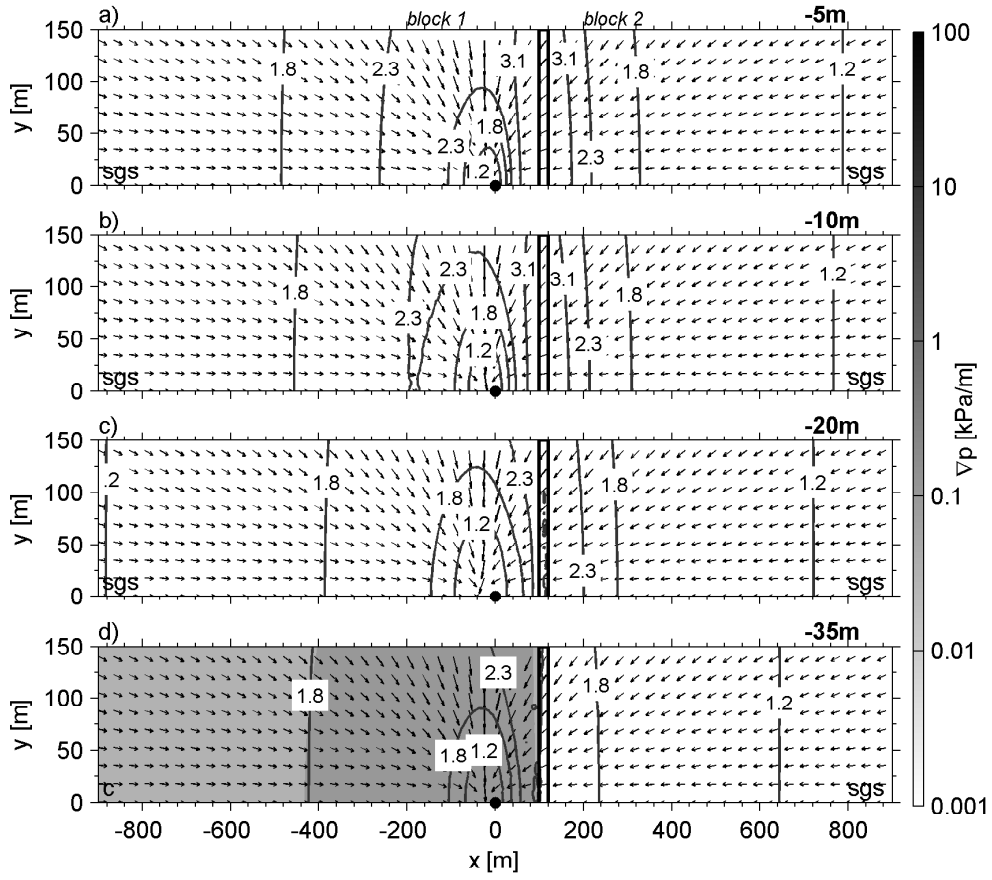


Figure 14: Horizontal slices at selected depths for the model also shown in Fig. 13(b). Notations are identically to those used in Fig. 8. Slices are exaggerated in the y-direction.